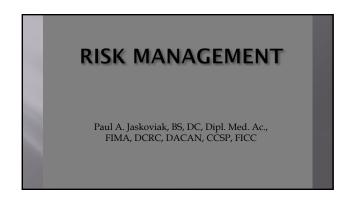
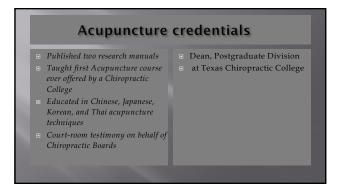
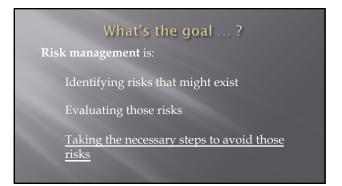


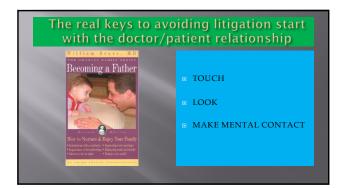


TEXAS CHIROPRACTIC COLLEGE Dean of the Postgraduate Division



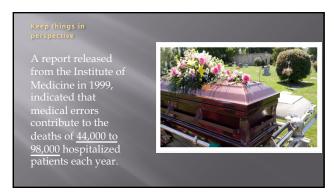


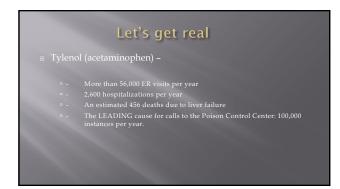


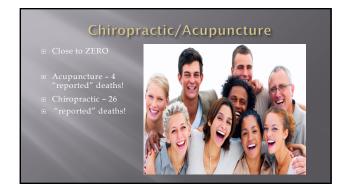




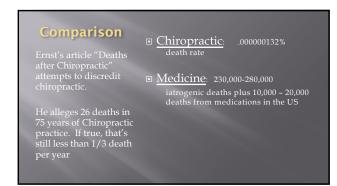
















Torts can be Intentional or Unintentional Unintentional Torts: the basis of malpractice suits, i.e. negligence "The failure to use the degree of care that would be expected of a reasonable and prudent doctor under the same type of circumstances" De facto - medical negligence or malpractice The plaintiff must demonstrate 1) A legal duty to provide reasonable care 2) A breach of duty, i.e. a failure to act 3) Injury to another 4) Breach of duty must be related to the cause of the injury

Stated differently: Medical Malpractice contends that 1) The doctor's conduct did not meet the expected professional standards of care or fell below the standards of care. 2) The failure is what actually caused harm to the patient.

- $\boldsymbol{\Lambda}$ doctor is negligent while acting in his or her professional capacity, the term is medical negligence or malpractice.
- Being named in a lawsuit does not mean that wrongdoing has occurred.
- Injury does NOT necessarily indicate that someone was at fault

Intentional Torts

- This involves a conscious decision to either commit an act or
 - Examples include things like defamation, assault, false imprisonment

What do you do if you are placed on notice

- 1) Immediately call your malpractice carrier
- 2) Be sure that your complete file on the patient is secured
- 3) You may discuss with your staff: but don't keep notes
- 4) DO NOT:
 - Alter your records in any way
 - Call the patient, the patient's attorney, other DC's that you may want to have $\,$ as experts
 Write things down – or post on any social media
- 4) DO: Follow your attorney's instruction
- 5 Relax it's business

What's are common mistakes

- Stupid is as stupid does
 - "Your stylist cut my ear!!"
- How about three free treatments?
- Your office staff is your first defense TRAIN YOUR STAFF

Board Violations (from CA Board)

- and excessive treatment

 2. Unlicensed practices (expiration, renewing)

 3. Sexual Misconduct

 4. Excessive Treatment

 5. Drugs/ Alcohol

 6. Conviction of a crime

 7. False advertising

 8. Practicing beyond scope of practice

 9. Payment for referrals

 10.Negligence/incompetence/failure to release patient records

- In general the most common allegations in cases of malpractice
- Herniated discs
- Cerebrovascular accidents
- Misdiagnosis
- Aggravation of pre-existing conditions
- Failure to refer
- Vicarious liability: --- up to 10%

 (Vicarious liability is a legal doctrine that <u>assigns</u> liability for an injury to a person who did not cause the injury but who has a particular legal relationship to the person who did act negligently. It is also referred to as imputed <u>Negligence</u>,)

Malpractice allegations in acupuncture

- Internal organ injury: pneumothorax, cardiac tamponade
- Blood vessel injury: deep vein thrombophlebitis
 Nerve injury: Peroneal nerve palsy (foot drop), Median nerve
- CNS injury
- Infections

Laws and Regulations Governing **Chiropractic Medicine**

- Use of Acupuncture: 38-7; 64B2-17.003
- 100 hour Board Approved Course pass exam
- Notify Board of Chiropractic Medicine of your Certification and that you have passed the examination administered by the Department of Health
- Regarding acupuncture coverage make sure you notify your malpractice company and provide them with your certification/documentation to use acupuncture in your state
- As an example, NCMIC excludes coverage under the DC's policy but will add the coverage with an endorsement when documentation is received verifying they are legal to practice acupuncture

Acupuncture - Board Definition

- Acupuncture is defined as a modality of diagnosing and treating physical conditions by stimulating various points on the body or interruption of the cutaneous (skin's) integrity by insertion of a needle to secure a reflex relief of the symptoms by nerve stimulation.
- Dry needling is acupuncture!

MeridianTherapy

- Stimulation of points
- Non-needling
- Physical therapy procedures: heat, cold
- Psychotherapy
- Herbalism

State Law does NOT allow acupuncture to be used in the treatment of:

- Cancer
- □ Leukemia
- Tuberculosis
- Syphilis
- □ Gonorrhea
- Hepatitis
- Anthrax Diphtheria
- Hansen's Disease
- Hookworm Disease
- Malaria
- Rabies
- □ Typhoid Fever
- □ Typhus Fever
- AIDS

Board Required Procedures

- 1) Non-disposable needles MUST be sterilized
- 2) Needles must be individually packaged
- 3) Destroy following patient dismissal or place in permanent file
- 4) Use only non-corrosive needles
- 5) Use generally acceptable cleansing agents

ESSENTIAL ELEMENTS IN AVOIDING MALPRACTICE

PRACTICE ETHICALLY

DOCUMENT

MANAGE YOUR RISKS

THE BASICS

- Keep your license up to date
- Always practice within your scope of practice
- License your facility with your state board if required
- Be certain that you always comply with state and local ordinances
- Pay all appropriate taxes and fees

WARNING SIGNS

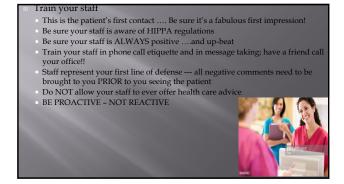
- Patient positing questions to your staff, but not to you
- Your records are not legible or are obliterated
- Your records are not dated or signed
- Your records do not accurately reflect what took place
- Your staff/you don't record phone calls
- Lots of blank spaces
- You only chart the Abnormal
- "Same as before," "same as before," "SAME as before."





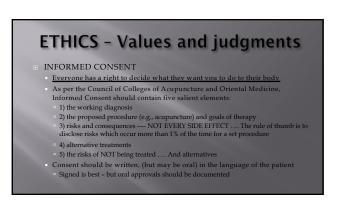
In the office

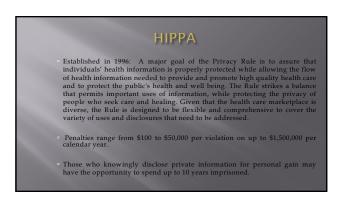
- Keep your facilities looking clean AND professional
- If things are dirty and unkempt patients notice A dirty facility may leave the patient with the PERCEPTION that you aren't professional
- Always immediately update changes of address with your board
- Keep your CME hours and specialty hours up-to-date
- Register your facility if required

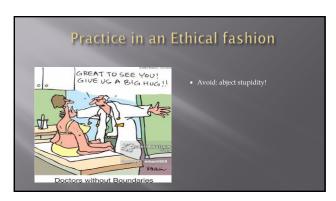


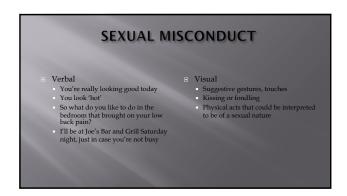












Sexual Misconduct CAN include the employer Case in point Female alleges affair with her treating DC Sues treating DC AND the owner of the practice (another DC) based on negligent supervision and negligent retention Both consumed alcohol after hours AT the clinic and sometimes with patients after hours! Treating DC made comments about her underwear and exchanged personal texts during treatment phase Staff and doctors allegedly engaged in crude language, often sexual The owner was responsible for the atmosphere of "fun" Employer lucked out on this one!









The goal of your exam is to arrive at an appropriate working diagnosis AND to **DOCUMENT** everything

- Even if you treat using 'Five Element Theory,' you are still obligated to perform a complete physical exam
 - 1) Patient completes basic information form (possibly on-line)
 - 2) Elements of a good exam include family history and current and past patient history. Work related events and exercise; activities of daily living may be significant.
 - 3) Follow SOAP format
 - 4) Don't be shy about writing things down
 - 5) When performing acupuncture you may want to incorporate elements of the Oriental exam

If it isn't written down - it didn't happen!

"Good, comprehensive, routine record entries are not only vital to minimizing your risk of having problems with insurance companies, attorneys, peer review committees, and the Board of Chiropractic Medicine, but they also facilitate good communication with insurance companies and other third party payers."

A simple rule of thumb:

FOR EVERY AREA THAT YOU ADJUST, YOU

SYMPTOMS

CLINICAL FINDINGS

DIAGNOSIS

ELEMENTS OF THE EXAM AND HISTORY

- Initial history may be done on line certainly prior to you first seeing
- First time you see patient: BP, Pulse, Respiration, temperature, height, weight, medications, secondary complaints
- Past history, family history, current complaint: R/O underlying systemic issues. Elucidate the mechanism of onset, the symptoms, prior care, and ADL (including occupational issues) that may be contributory
- Physical Exam (lab or imaging when justified) and Working Diagnosis SOAP (subjective, objective, assessment and plans)
- LISTEN LISTEN LISTEN
- EHR Electronic health records be sure to append

INFORMED CONSENT

- Can only take place after the exam, the working diagnosis and you've laid out the treatment plans
- Remember Risk Managements means minimizing risks!
- Important elements
 - Your working diagnosis
 - The objective of your treatment what your treatment consists of
- Risks and consequences of not getting the treatment
- Discuss alternative treatments
- Discuss the prognosis if no treatment takes place

Elements of the patient visit

- If EHR be sure to supplement
- Review notate changes or lack of changes since initial visit
- DOCUMENT treatment given response to treatment
- Be sure to note clinical progress
- Use SOAP notes

Forms that are required

- Informed consent if verbal be sure to document. Also document if you give patient home instructions, brochures or have them view videos
- Initial history form
- Exam forms
- Any ancillary reports, e.g. imaging reports
- Reports from other practitioners
- Your working diagnosis
- Day-to-day entries

Acupuncture Documentation

- Be certain to document:
- Penetration depth and angle of insertion
- Number of needles inserted and removed
- E.A. Stimulation protocol
- Disinfectant used
- Any adverse reactions

KEEP YOUR RECORDS

- For at least four (4) years from the date of the patient's last appointment. Required by Florida law.
- For liability purposes, seven (7) years is recommended for adult patients and at least eight (8) years for pediatric patients.
- Records may NOT be altered however there may be times when they need to be corrected $\,$

Medical Records

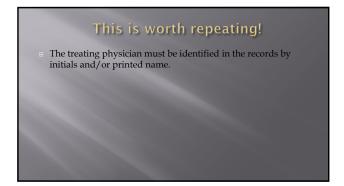
- - records of drugs dispensed by other practitioners hospitalizations
- a key for all abbreviations reports of consultations with others all of the above

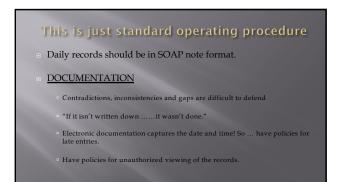
True or False

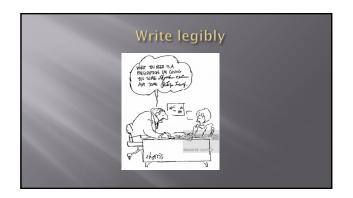
All entries in the medical records must be DATED.

Just in case you forgot something

Late entries into the medical records are permitted. Be sure to properly date and sign any additions.



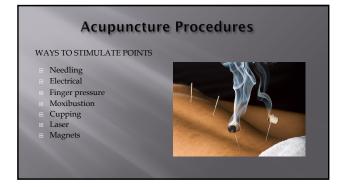








Explain what you're doing Be sure you can explain how acupuncture works to your patients Be sure your staff understands acupuncture The more a patient understands, the more receptive they will be Gear your discussions to include evidence-based information in conjunction with a little of the Oriental basis for acupuncture





Allegations involving Acupuncture

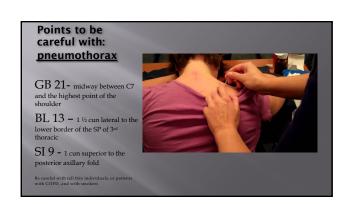
- In general: "43 "significant" events were reported giving a rate of 14 per 10,000."
- White in 2004 reported a serious risk percentage of only .55 per 10,000 individual patients
- In general all cases had cleared within one week, except for one incident of pain that lasted two weeks and one of sensory symptoms that lasted several weeks.
- As a general rule acupuncture is extremely safe
- A total of 2135 minor events were reported
- Bleeding, aggravation of symptoms
- Others include: pain at the sites of insertion, tiredness, fainting, and vomiting

Significant events associated with acupuncture

- Lao et al performed a study from 1965-1999 and reported just 202 incidents
- Pneumothorax (as of 2015, <u>4 deaths</u> had been reported).
- Cardio-vascular lesions (tamponade CV 17)
- Hemorrhage or hematomas including the CNS
- Deep Vein Thrombophlebitis: Anterior Compartment Syndrome of the lower leg (GB 34, ST 36)
- Nerve Injury Foot Drop (GB 34, BL 39, BL 40); Median Nerve Palsy (PC 6, PC 7)
- Infections (primarily hepatitis), abscess, Osteomyelitis,

A review of the serious and minor complications associated with acupuncture

- 1) A 64 year-old healthy male was admitted with shortness of breath
- 2) An 82-year old female with COPD was admitted with severe
- 3) A 66 year-old male being treated for chronic back pain experienced a severe sharp pain stabbing from his central check through to his back. He also experienced shortness of breath
- 4) Following acupuncture a 54-year old female complained of sudden weakness on the entire left side of her body
- 5) Penetration of the Stomach Cavity after acupuncture
- 6) Case reported in The American Chiropractor Magazine



Accidental injury to other organs

- Central Nervous system injuries have been reported: Be cautious with GV (DU) 15 Just below first cervical; and GV 16 just below the EOP
- Heart injuries have been reported
- Before inserting needles, be certain that the body's internal organs are NOT enlarged $\,$
- Problems happen when things are not normal (e.g. COPD, mastectomy, enlarged internal organs).

Infections

- Local or systemic
- The most common scabs form at the site of needle insertion if a patient is on cortisone - wait 30 days
- Failure to wash your hands OR to wear gloves could create a situation where you cross-infect a patient. Worse yet PERCEPTION
- A patient who is immune-suppressed MAY be more prone to

Bleeding and bruising

Be careful with the following:

- Needling of the ear
- Patients on blood thinners or anti-coagulants
- Over or next to major
- blood vessels e.g. Lu 9
- Cupping
 Needling the scalp



MOXIBUSTION

- Mugwort Artemisia vulgaris
- Concerns are:
- burns
- allergic reactions
- bruises
- cellulitis
- Take care around sensitive areas of the body such as the face and hair line. Also be careful of falling ashes.

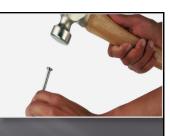


Pain and Needle sensation

There may be some discomfort if alcohol is still on the skin

De qi or Tae chi sensation Avoid needling directly into a nerve

Carreine may attenuate the individual's perception of pain – however it may also heighten anxiety which is associated with increased perception of pain



Other considerations

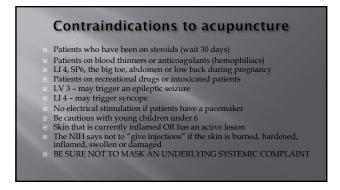
- Be careful when needling points that lie over or next to major blood vessels e.g., LU 9, ST 9, ST 12, ST 13, ST 42, SP 11, HT 1, LV 12
- Fainting .027% actually faint
- Take caution with children or the aged
- Be careful with debilitated patients
- Needle disposal





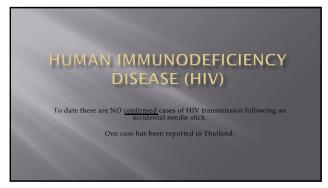












Biomedical Waste Permits – you may need one A chiropractor that generates more than 25 pounds of biomedical waste during each 30-day period is required by the Florida Statute to apply for a permit from the Department of Health. Doctors generating some but less than 25 pounds during each 30-day period are entitled to apply for an exemption from permitting. Fines: up to \$2500.00 ... Paul Lambert, FCA General Counsel

